



9,21-05

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IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re application:)	
VANDENBROEK, et al.)	Customer No. 21378
)	
Serial No.: 10/815,149)	Docket No.: A-2810-AL
)	
Filed: March 30, 2004)	Art Unit: 3731
)	
For: CONVERTIBLE SURGICAL CLIP)	
APPLIER SYSTEM)	
)	

Express Mailing No.: EV 384057835 US

Date of Deposit: September 20, 2005

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1. Information Disclosure Statement;
2. PTO Forms PTO/SB/08a & PTO/SB/08b;
3. Copies of 4 references;
4. Transmittal Form; and
3. Return-receipt postcard.

Each of the above-identified documents is enclosed herewith.

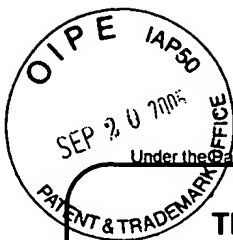
Respectfully submitted,

Barbara Johnson
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PTO/SB/21 (02-04)

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U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE

TRANSMITTAL FORM

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Total Number of Pages in This Submission

73

Application Number	10/815,149
Filing Date	March 30, 2004
First Named Inventor	Frans Vandenbroek
Art Unit	3731
Examiner Name	Not yet assigned
Attorney Docket Number	A-2810-AL

ENCLOSURES (Check all that apply)

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Copies of four citations |
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Firm or Individual name	PATRICK Y. IKEHARA
Signature	
Date	SEPT 20, 2005

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Typed or printed name	Barbara Johnson
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This collection of information is required by 37 CFR 1.5. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to 2 hours to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

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IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re application:

VANDENBROEK, et al.

Serial No.: 10/815,149

Filed: March 30, 2004

For: CONVERTIBLE SURGICAL CLIP
APPLIER SYSTEM

) Customer No. 21378

) Docket No.: A-2810-AL

) Art Unit: 3731

Mail Stop Amendment
Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450

INFORMATION DISCLOSURE STATEMENT

Dear Sir:

Enclosed please find an Information Disclosure Statement which lists information that may be relevant to the prosecution of the above-captioned application. It is requested that this information be considered by the Office. However, it is understood that the mere filing of the Statement does not constitute an admission that the information submitted is material to patentability.

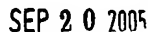
This Statement is being submitted under the terms of 37 C.F.R. § 1.97(b), and is being filed before the mailing of a first Office Action on the merits. No fee should be required.

Nevertheless, the Commissioner is hereby authorized to charge any fee deficiency, or credit any over-payment, to our Deposit Account No. 01-2215.

Respectfully submitted,

Patrick Y. Ikehara
Attorney for Applicant

CUSTOMER NO.: 21378
Telephone (949) 713-8000



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U.S. Patent and Trademark Office: U.S. DEPARTMENT OF COMMERCE

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Sheet	1	of	2
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Application Number	10/815,149
Filing Date	March 30, 2004
First Named Inventor	Frans Vandenbroek
Art Unit	3731
Examiner Name	Not yet Assigned
Attorney Docket Number	A-2810-AL

[illegible]

Examiner Initials*	Cite No. ¹	Foreign Patent Document	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear	T ⁶
		Country Code ³ Number ⁴ Kind Code ⁵ (if known)				
		WO 2004/008944 A	01-29-2004	Applied Medical Resources		
		WO 2002/28268 A	04-11-2002	Applied Medical Resources		
		EP 0 793 944 A1	09-10-1997	United States Surgical Corp.		

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Date	
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INFORMATION DISCLOSURE STATEMENT BY APPLICANT (Use as many sheets as necessary)		Complete if Known	
		Application Number	10/815,149
		Filing Date	March 30, 2004
		First Named Inventor	Frans Vandebroek
		Art Unit	3731
		Examiner Name	Not yet assigned
Sheet 2	of 2	Attorney Docket Number	A-2810-AL

NON PATENT LITERATURE DOCUMENTS			
Examiner Initials*	Cite No. ¹	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published.	T ²
		The International Search Report and The Written Opinion of the International Searching Authority for PCT application No. PCT/US2005/008319	

Examiner Signature		Date Considered	
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